



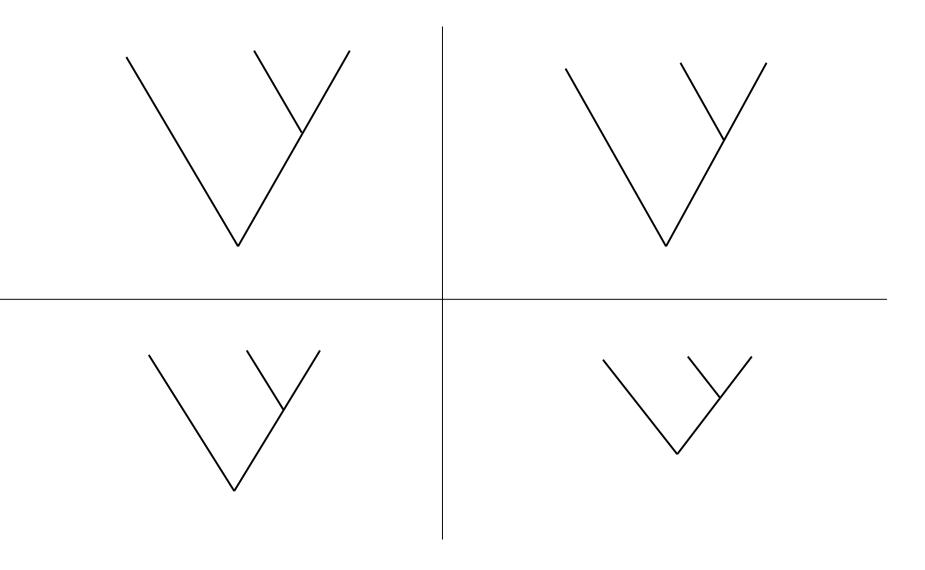
Estimating the contribution of sequence context to nucleotide substitution rate heterogeneity

Helen Lindsay and Gavin A. Huttley

The Gamma Model

- Yang (1993) used a gamma distribution to model rate variation in α- and βglobin genes
- The gamma distribution is often approximated by four equi-probable bins

Gamma rate variation



Improvements on the Gamma model

- Allow sites to change rates
- Allow clustering of rates
- Consider other/multiple rate distributions

What causes substitution rate variation?

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Natural selection

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Natural selection

Differential repair

Nucleotide properties

What causes substitution rate variation?

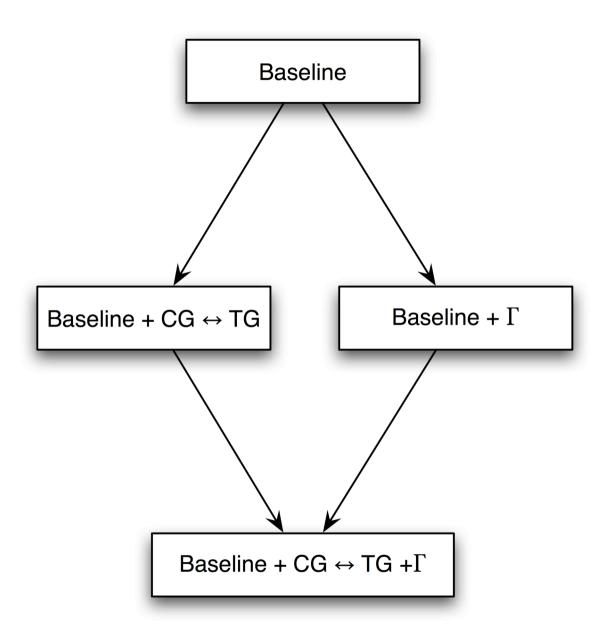
Natural selection

Differential repair

$AG \longrightarrow CG \longrightarrow TG$ (slow) (fast)

Data

- 470 alignments, each 50 000 nucleotides long, of introns from human, chimpanzee and macaque oneto-one orthologs.
- Sampled from Ensembl version 49.



The baseline model

 $q_{i_1i_2,j_1j_2} = \begin{cases} 0 & \text{more than one nucleotide difference} \\ \pi_{j_x}r_{A\leftrightarrow C} & i_1i_2 \text{ and } j_1j_2 \text{ differ by } A \leftrightarrow C \\ \pi_{j_x}r_{A\leftrightarrow G} & i_1i_2 \text{ and } j_1j_2 \text{ differ by } A \leftrightarrow G \\ \pi_{j_x}r_{A\leftrightarrow T} & i_1i_2 \text{ and } j_1j_2 \text{ differ by } A \leftrightarrow T \\ \pi_{j_x}r_{C\leftrightarrow G} & i_1i_2 \text{ and } j_1j_2 \text{ differ by } C \leftrightarrow G \\ \pi_{j_x}r_{C\leftrightarrow T} & i_1i_2 \text{ and } j_1j_2 \text{ differ by } C \leftrightarrow T \end{cases}$

where x is the index at which i_1i_2 and j_1j_2 differ

The CpG model

$$q_{i_{1}i_{2},j_{1}j_{2}} = \begin{cases} 0 & \text{more than one nucleotide difference} \\ \pi_{j_{x}}r_{A\leftrightarrow C} & i_{1}i_{2} \text{ and } j_{1}j_{2} \text{ differ by } A \leftrightarrow C \\ \pi_{j_{x}}r_{A\leftrightarrow G} & i_{1}i_{2} \text{ and } j_{1}j_{2} \text{ differ by } A \leftrightarrow G \\ \pi_{j_{x}}r_{A\leftrightarrow T} & i_{1}i_{2} \text{ and } j_{1}j_{2} \text{ differ by } A \leftrightarrow T \\ \pi_{j_{x}}r_{C\leftrightarrow G} & i_{1}i_{2} \text{ and } j_{1}j_{2} \text{ differ by } C \leftrightarrow G \\ \pi_{j_{x}}r_{C\leftrightarrow T} & i_{1}i_{2} \text{ and } j_{1}j_{2} \text{ differ by } C \leftrightarrow T, \{i, j\} \neq \{\text{CpG}, \text{TpG}\} \\ \pi_{j_{x}}r_{C\leftrightarrow T}r_{CG\leftrightarrow TG} & i_{1}i_{2} \text{ and } j_{1}j_{2} \text{ differ by } C \leftrightarrow T, \{i, j\} = \{\text{CpG}, \text{TpG}\} \end{cases}$$

where x is the index at which i_1i_2 and j_1j_2 differ

The Gamma Model

 $q_{i_1i_2,j_1j_2} = \begin{cases} 0 & \text{more than one nucleotide difference} \\ \pi_{j_x} r_{A \leftrightarrow C} \Gamma_n & i_1i_2 \text{ and } j_1j_2 \text{ differ by } A \leftrightarrow C \\ \pi_{j_x} r_{A \leftrightarrow G} \Gamma_n & i_1i_2 \text{ and } j_1j_2 \text{ differ by } A \leftrightarrow G \\ \pi_{j_x} r_{A \leftrightarrow T} \Gamma_n & i_1i_2 \text{ and } j_1j_2 \text{ differ by } A \leftrightarrow T \\ \pi_{j_x} r_{C \leftrightarrow G} \Gamma_n & i_1i_2 \text{ and } j_1j_2 \text{ differ by } C \leftrightarrow G \\ \pi_{j_x} r_{C \leftrightarrow T} \Gamma_n & i_1i_2 \text{ and } j_1j_2 \text{ differ by } C \leftrightarrow T \end{cases}$

where x is the index at which i_1i_2 and j_1j_2 differ and Γ_n is the rate category of site n.

Gamma vs Dinucleotide models

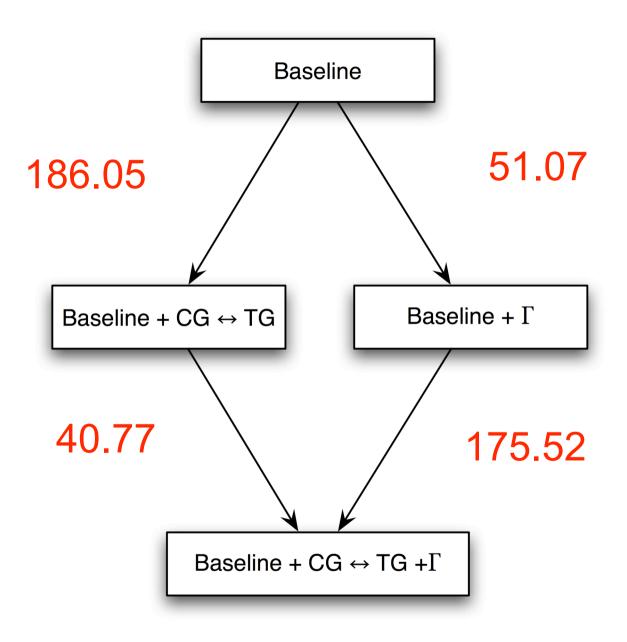
| Parameter (P) | ${ m LR}~({baseline+P\over baseline})$ | ${ m LR}~({baseline+P+\Gamma\over baseline+\Gamma})$ | ${ m LR}~({baseline+P+\Gamma\over baseline+P})$ |
|--|--|--|---|
| baseline | - | - | 51.07 |
| $TG \leftrightarrow CG$ or $CA \leftrightarrow CG$ | 186.05 | 175.52 | 40.77 |
| $TG \leftrightarrow CG$ | 89.53 | 84.79 | 46.07 |
| $CA \leftrightarrow CG$ | 84.74 | 80.08 | 45.86 |
| $AT \leftrightarrow GT$ | 28.83 | 28.01 | 50.09 |
| $AA \leftrightarrow GA$ | 25.51 | 24.66 | 50.05 |
| $TT \leftrightarrow CT$ | 23.24 | 22.48 | 50.23 |
| $AA \leftrightarrow AG$ | 19.22 | 18.31 | 50.20 |
| $TT \leftrightarrow TC$ | 15.41 | 14.63 | 50.22 |
| $GA \leftrightarrow GG$ | 14.18 | 13.53 | 50.44 |
| $TT \leftrightarrow CC$ | 10.80 | 10.43 | 50.78 |
| $AT \leftrightarrow AC$ | 9.85 | 9.33 | 50.44 |

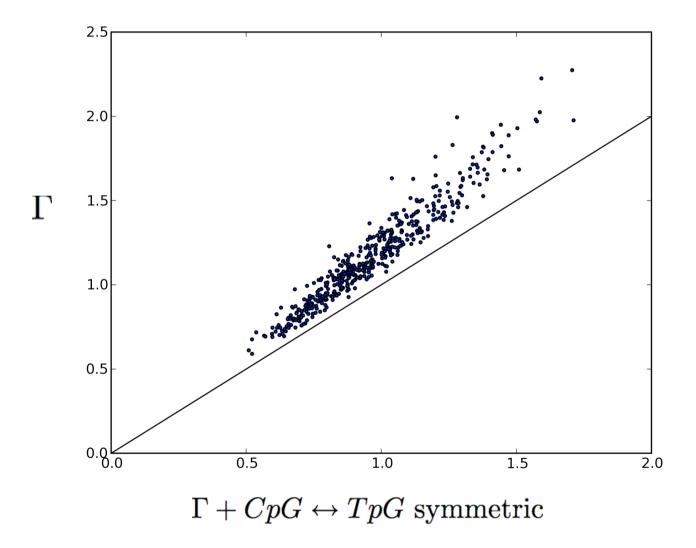
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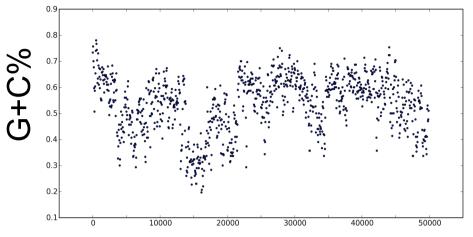
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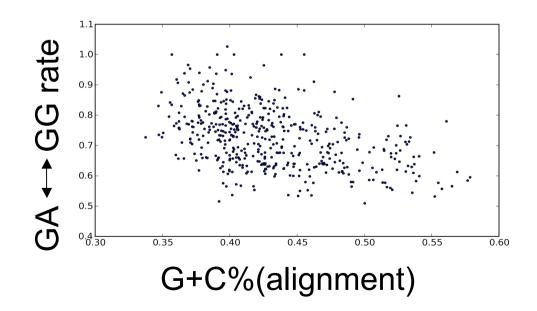




- Independent sites
- Reversible
- Compositional variance



Alignment position (nucleotides)



Advantages of dinucleotide models

- Less likelihood computation
- Equivalently parameter-rich
- No assumed distribution of rate variation
- Can incorporate known mutation biases, for example deamination of methylated cytosine.
- Smaller alphabet than amino acids

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• Von Bing Yap